



LET'S GET STARTED

Retailer applications generally take 6-8 weeks to process.
Once the application is approved, training is scheduled for your employees. New full-service lottery retailers will agree to start with a minimum of eight Scratch-Off games.

When submitting a Retailer License Agreement, please include the following: Completed W-9 Form

Retailer License Agreement
Application for Retailer License
Electronic Funds Transfer Authorization
Personal Data Sheet

Once approved, the \$250 Application Fee will be assessed on your first Lottery invoice.

APPLY TODAY



*Fueling Imagination.
Funding Education.*

**Kentucky Lottery Corporate
Office Hours**
Monday thru Friday
8:00am – 5:00pm

1011 West Main Street
Louisville, KY 40202
502-560-1500

The retailer hotline and Brightstar
hotline are available seven days a
week from 6:00am until midnight.

Retailer Hotline
800.937.8946



Brightstar Lottery Hotline
800.599.5686

SOMETHING FOR EVERYONE

The Kentucky Lottery offers a variety of games to appeal to any kind of player.

DRAW GAMES



SCRATCH-OFFS



Players can choose from more than three-dozen exciting Scratch-off games with new options launching approximately every six weeks.

VENDING MACHINES

Kentucky Lottery vending machines make it easy for customers to purchase tickets without sta assistance. Vending machines are available for stores that meet certain sales criteria.



For complete details about all Kentucky Lottery games, visit kylottery.com.



RETAILER COMMISSION AND REVENUE ADVANTAGES

As a Kentucky Lottery retailer, you'll receive:

5%

COMMISSION

on the sale of all lottery products

That translates to a \$1.50 commission per unit on our current best-selling game!

1%

CASHING BONUS

for all tickets cashed at your store

1%

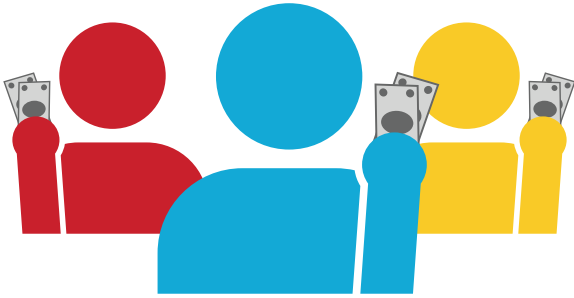
SELLING BONUS

for all validated tickets over \$600 sold from your store, including bonuses for games like Keno and the Powerball and Mega Millions jackpot games.

You will also be part of a quarterly retailer incentive program tied to your Scratch-Offs sales growth and in-store execution.

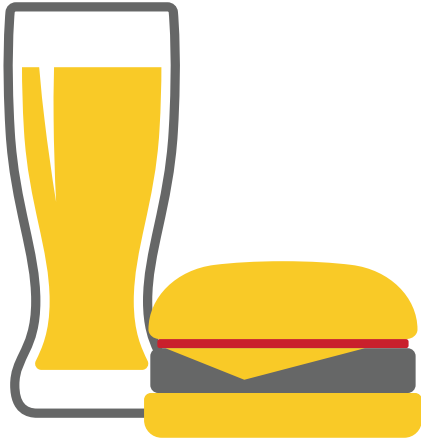


ADDITIONAL BENEFITS OF CARRYING LOTTERY PRODUCTS



INCREASED TRAFFIC AND SALES

A study by the National Association of Convenience Stores shows lottery customers spend \$7.00 per visit on average, whereas non-lottery customers spend only \$4.00.



INCREASED FOOD AND BEVERAGE SALES

Winners of games like Keno tend to spend more, as they are entertained by the drawings every four minutes. They also tend to share their winnings by purchasing food and beverages for the party and giving bigger tips to server staff.



*Fueling Imagination.
Funding Education.*

SUPPORT FROM THE KENTUCKY LOTTERY

The Kentucky Lottery provides free training on lottery games for you and your employees.

You'll receive free marketing materials and advertising support of our products to drive traffic to your location.



Fueling Imagination. Funding Education.

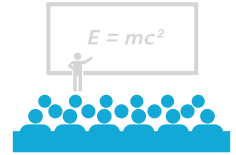


1 out of 5
Kentucky citizens
have received grants or
scholarships funded by
the lottery

Being a Kentucky Lottery retailer
is something to be proud of.
Since 1989, the Kentucky Lottery
has generated over \$7 billion
for Kentucky students.



7 Billion
grants and scholarships
awarded from our educational
assistance funding



1,032,714
Kentucky college student
recipients from our educational
assistance funding

The Lottery Funds:

100%

of Kentucky Educational Excellence
Scholarships
(KEES)



95%

of Kentucky Higher Education
Assistance Authority
(KHEAA)



Lottery Proceeds Also Benefit:

COLLEGE ACCESS PROGRAM (CAP) GRANTS
DUAL CREDIT SCHOLARSHIP PROGRAM
WORK READY KENTUCKY SCHOLARSHIPS
KENTUCKY TUITION GRANTS
NATIONAL GUARD TUITION REWARD PROGRAM
TEACHER SCHOLARSHIPS

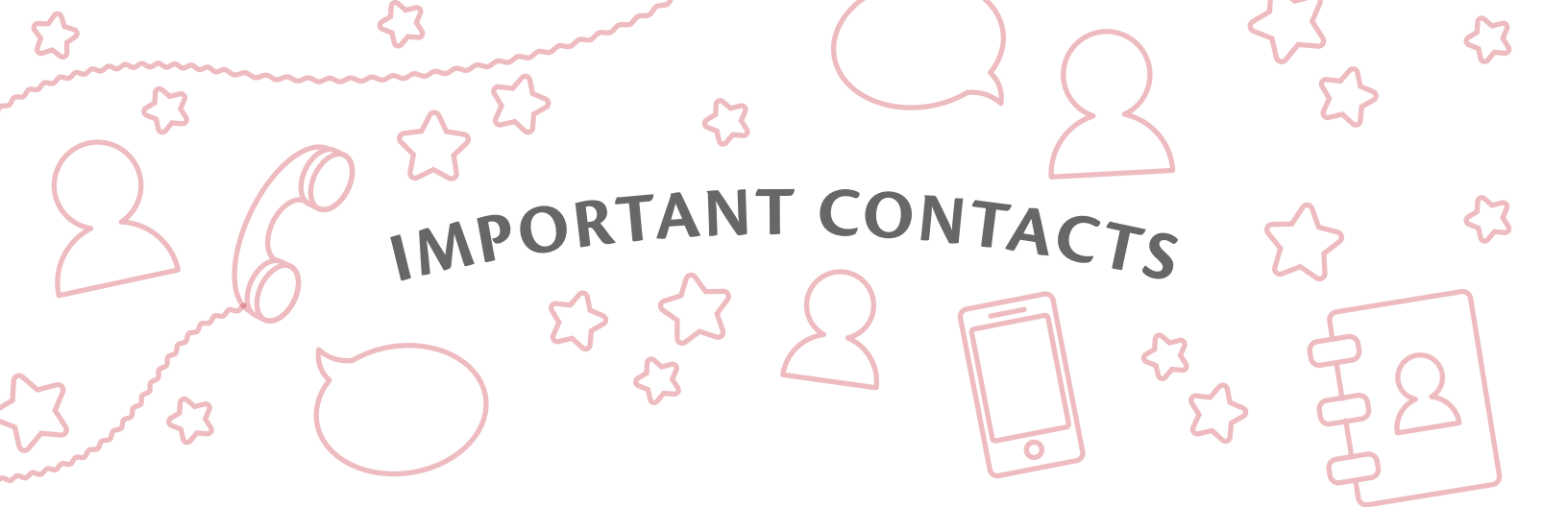
WHAT HAPPENS NEXT?

Equipment installation and training is coordinated once you're approved, typically within 5 days.

Typical Retailer Equipment Requirements Include:

COUNTERTOP TOUCH SCREEN TERMINAL
PRINTER AND TICKET CHECKER





IMPORTANT CONTACTS

SCIENTIFIC GAMES (SCI GAMES)

1-833-306-5124

What does Sci Games do for the Kentucky Lottery?

Sci Games designs, produces and ships games sold by the Kentucky Lottery.

When should I contact Sci Games?

Contact Sci Games to order tickets or if you have issues with ticket orders, such as missing tickets or unreceived orders.

Brightstar

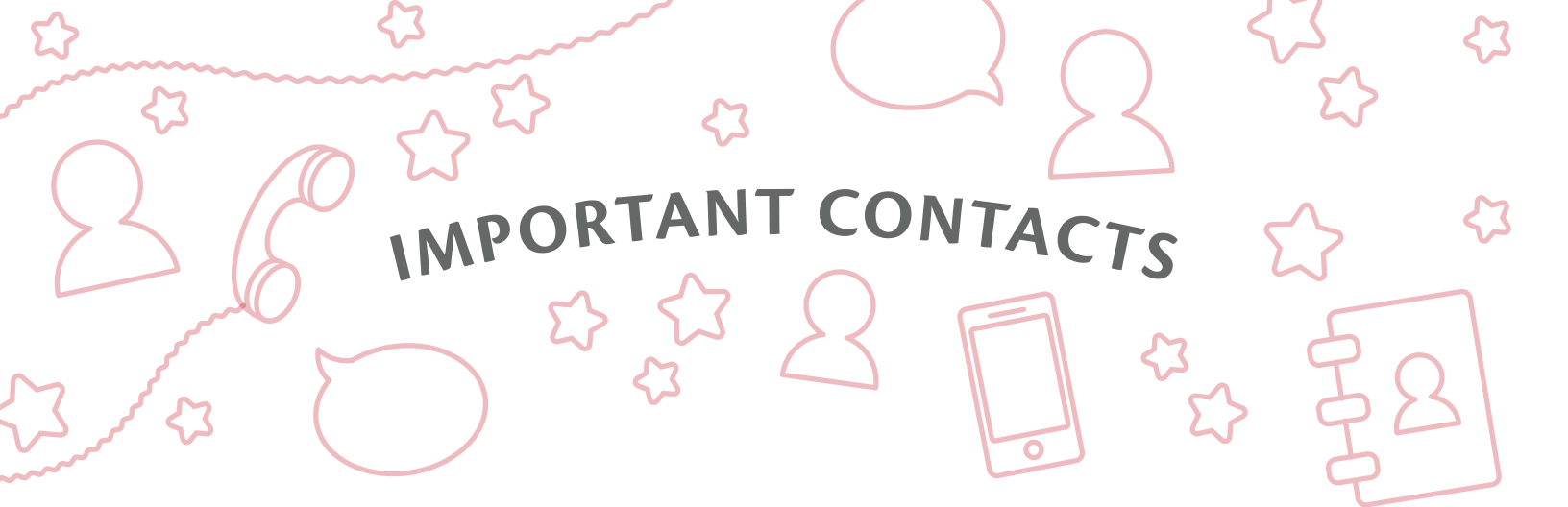
1-800-599-5686

What does Brightstar do for the Kentucky Lottery?

Brightstar makes lottery game machines and equipment, including terminals, ticket printers and game vending machines.

When should I contact Brightstar?

Contact Brightstar if you have any issues with equipment. You must also contact Brightstar to order supplies like paper or play slips.



IMPORTANT CONTACTS

RETAILER HOTLINE -1-800-937-8946

You'll be prompted to select from one of the options below

2-Billing Questions

3-Claims Questions

4-Ticket Orders

5-Lost Tickets/Security

6-Sales Rep Directory

7-Licensing

- **If you're missing packs of tickets – either from a new shipment or after the tickets have been in your store.**
- **If you find extra packs of tickets in a shipment.**
- **If you suspect or discover employee theft of lottery tickets.**
- **If you suspect or discover a non-employee, external theft of lottery tickets.**
- **In the case of a burglary that involves the lottery.**
- **If you receive an error message when activating a pack.**
- **If you receive an error message when validating that says, "Contact Lottery." Contact the Lottery 1-800-937-8946 select option 5-Lost Tickets/Security**



HELPFUL TIPS OVERVIEW

For a comprehensive list of Helpful Tips, including examples of Specific Promotions listed below, visit kylottery.com/apps/retailers

PRIZE DEFINITIONS

Free Ticket — Awards a free lottery product, such as a Pick 3 ticket. The free ticket may be for multiple boards and valid for more than one draw. All free tickets are Quick Picks.

Printed/Mobile Coupons — These coupons come in a variety of shapes and sizes and can be either printed on paper or presented on a mobile device screen. Some may even look homemade if printed on a home computer. All of these have a 16-digit bar validation code.

Terminal Coupons — These are produced on your terminal and can happen if we are doing a promotion such as, buy \$5 of Powerball tickets and receive a coupon for a \$1 Cash Ball ticket on your next visit. All terminal coupons have a 19-digit bar code.

All coupons have unique validation numbers and barcodes that allow them to be validated through your lottery terminal.

PROMOTION TYPES

Buy X, Get Y – Awards a free ticket, discount, coupon, voucher, or raffle ticket to customers who buy a specified ticket.

Every Nth Ticket – Awards a prize to customers who buy a specified ticket that happens to be the Nth ticket purchased at a terminal.

Coupon – Allows a customer to redeem a coupon that was awarded as the prize for another promotion.



HELPFUL TIPS OVERVIEW

SPECIFIC PROMOTIONS

Second-Chance Drawing

PROMOTION DEFINITION:

Second chance drawing using non-winning Lottery tickets to offer the customer a second chance to win a prize. These types of drawings help build customer loyalty.

Ask for the Sale

PROMOTION DEFINITION:

The object of this promotion is to ask every customer to purchase a Lottery ticket. It gives the retailer an opportunity to increase awareness and purchases of Lottery tickets.

Coupon Redemption

PROMOTION DEFINITION:

Coupons have been used successfully to promote many different products and customers are very familiar with coupon usage. This promotion is designed to increase store traffic.

Free with Purchase

PROMOTION DEFINITION:

A free Lottery ticket or premium item is used in this promotion to provide an incentive to purchase a product. The concept is simple: the customer purchases a product and receives a free Lottery ticket, premium item, a combination of both, or a certain dollar amount of products.



FREQUENTLY ASKED QUESTIONS

What does it mean when the terminal says, “Retrying Transaction”?

This may be a communication issue. Please contact Brightstar at 1-800-599-5686.

I have a pack of tickets that I didn’t activate and the game has ended. How do I get credit for those tickets?

Please contact your Territory Sales Manager and ask to pick up the tickets for review of credit to your account.

What do I do if I cannot run my billing report?

Contact the Kentucky Lottery Accounting department at 1-800-937-8946 and select option 2.

Who does the maintenance work on the “Ticket Checker” machines?

Brightstar. You can reach them at 1-800-599-5686.

How many times can I scan a non-winning ticket before I’m shut down?

For security reasons, the terminals do not have a set amount of tries.

What if I am having trouble scanning tickets?

Please call Brightstar at 1-800-599-5686.

What does it mean when the terminal says, “No Traffic”?

It means the phone lines are down. Contact Brightstar at 1-800-599-5686.

What does it mean when the terminal says, “System Suppressed”?

It may be an accounting issue. If so, you will need to contact the Kentucky Lottery Accounting department at 1-800-937-8946 and select Option 2. However, it may be that you have scanned too many non-winning lottery tickets and needs to be reset.

What happens when the top prize of a game is claimed?

Tickets may continue to be sold after some prizes, including all top prizes, have been claimed.

How do I find out what prizes are remaining in a Scratch-off game?

Remaining prizes for each Scratch-off game can be found on our website, kylottery.com or printed from your Altura terminal as a Prizes Remaining report.



FREQUENTLY ASKED QUESTIONS

For a comprehensive list of FAQs, visit kylottery.com/apps/retailers.

What online games can be canceled?

Pick 3, Pick 4, Cash Ball, and Keno tickets can be canceled.

What does it mean when the terminal says, "System Problems, Contact Lottery"?

This may be a result of system issues. Please contact Brightstar at 1-800-599-5686.

I need to place a ticket order after 4:00 PM or on the weekend. Can I still place an order?

Please call SGI at 1-833-306-5124. You will be prompted to leave a message, and your order will be placed on the next business day.

Why can I not activate a pack of tickets?

There is a chance that the game may be past the activation date, or the pack wasn't assigned to your store. If that pack of tickets is past the activation date, your external rep can pick them up and give you credit. If the pack wasn't assigned to you, call the Retailer Hotline at 1-800-937-8946 select option 5 for assistance.

- If the terminal says, "Tickets not issued, contact Lottery," this means the tickets are not assigned to your store. Call the Retailer Hotline at 1-800-937-8946 select option 5 for assistance.

- If the terminal says, "Game Not Found, Contact Lottery," this means the game is no longer in the system. It may be an old game that has ended or a new game that has not yet started. Call the Retailer Hotline at 1-800-937-8946 for assistance.

Who do I call when I am having problems with my vending machine?

Call Brightstar 1-800-599-5686.

Can I order supplies through my terminal?

No. To order supplies, you should call 1-800-599-5686.

My printer jammed and I didn't get all of the tickets. What should I do?

Press the reprint key and select reprint last online transaction. This will reprint the last ticket produced. If some of the tickets can still be sold, sell the player the tickets. Any tickets that are damaged should be attached with the reprint and an online adjustment form should be filled out and mailed to the lottery.

I need to get a ticket validated. What number do I call?

Call the Retailer Hotline at 1-800-937-8946 and select option 3.

Request for Taxpayer Identification Number and Certification

Give Form to the
requester. Do not
send to the IRS.

► Go to www.irs.gov/FormW9 for instructions and the latest information.

Print or type. See Specific Instructions on page 3.	1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.	
	2 Business name/disregarded entity name, if different from above	
	3 Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check only one of the following seven boxes. ☐ Individual/sole proprietor or single-member LLC ☐ Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=Partnership) ► _____ Note: Check the appropriate box in the line above for the tax classification of the single-member owner. Do not check LLC if the LLC is classified as a single-member LLC that is disregarded from the owner unless the owner of the LLC is another LLC that is not disregarded from the owner for U.S. federal tax purposes. Otherwise, a single-member LLC that is disregarded from the owner should check the appropriate box for the tax classification of its owner. ☐ Other (see instructions) ► _____	4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3): Exempt payee code (if any) _____ Exemption from FATCA reporting code (if any) _____ <i>(Applies to accounts maintained outside the U.S.)</i>
	5 Address (number, street, and apt. or suite no.) See instructions.	Requester's name and address (optional)
	6 City, state, and ZIP code	
	7 List account number(s) here (optional)	

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN*, later.

Note: If the account is in more than one name, see the instructions for line 1. Also see *What Name and Number To Give the Requester* for guidelines on whose number to enter.

Social security number									
				-			-		
or									
Employer identification number									
				-					

Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
3. I am a U.S. citizen or other U.S. person (defined below); and
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

Sign Here	Signature of U.S. person ►	Date ►
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General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to www.irs.gov/FormW9.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

- Form 1099-INT (interest earned or paid)

- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding, later.



Retailer # (Assigned by KLC)

RETAILER LICENSE AGREEMENT

This Retailer License Agreement is executed by and between _____ doing business as _____ (“Retailer”) and the Kentucky Lottery Corporation (the “KLC”), pursuant to the Retailer License Application (the “Application”) submitted to the KLC by Retailer.

1. Grant of License; Incorporation by Reference

Retailer is hereby licensed to sell such lottery products as the KLC may approve from time to time

- (a) in consideration of the Retailer’s promise in this Retailer License Agreement, and
- (b) in reliance upon Retailer’s representations in the Application.

Retailer represents and warrants that there has been no change in the information supplied to the KLC in the Application.

This Agreement is subject to, and Retailer agrees to comply with and be bound by, all provisions of KRS Chapter 154A, all provisions of 203 KAR 3:030 (the “Retailer Administrative Regulation”), the Application, the Retailer Handbook, all other applicable laws and regulations governing the KLC, including the Americans with Disabilities Act, and the rules, practices and procedures of the KLC regarding retailers and the sale of lottery products, as the same may be amended from time to time, all of which are incorporated herein by reference in their entirety and are hereinafter, together with this Retailer License Agreement, collectively referred to as the “Agreement.”

Retailer acknowledges that the Agreement sets forth a summary of only certain of the rights, obligations and remedies of the KLC and Retailer, and hereby acknowledges receipt of: KRS Chapter 154A, 203 KAR 3:030 (the Retailer Administrative Regulation), and the Retailer Handbook.

2. Term

Unless earlier terminated by the terms of this agreement or as provided by law, this Agreement shall be in effect for one (1) year and shall automatically renew for additional successive one-year terms, not to exceed four years from the date the Retailer License Agreement was signed by the KLC. This Agreement shall be subject to relicensing by the KLC upon satisfactory completion of all requirements placed by the KLC on such relicensing. The KLC may temporarily extend in writing this Agreement to permit completion of the prerequisites to relicensing, if in the best interest of the KLC

3. Age Restriction on Sales and Prize Payments and Responsible Gaming

Retailer will neither sell lottery products to nor redeem prizes for any person under eighteen (18) years of age. Retailer will monitor any vending machines and player-activated terminals to ensure that individuals under eighteen (18) years of age do not purchase lottery products. Retailer will display, and replenish as needed, brochures on compulsive gambling with the 1-800-GAMBLERS hot line number in the Lottery

12/8/17

Internal and Retailer Use Only

Play Center or another prominent location. Retailer will train all employees that handle lottery products in the Play Responsibly Program & KLC's Minors Awareness Program.

4. Retail Location; Changes in Ownership and Location

The license to sell lottery products issued to Retailer in conjunction with this Agreement (the "License") is limited to the sale of products from the retail location or locations identified in the Application (and under other circumstances subject to the express prior written approval of the KLC), and to sale of Lottery products by the entity identified as Retailer in the Application with the "Owners" as defined and identified in the Application.

5. Vending Machine Program Specifications

KLC vending machines may be provided at no charge to Retailer if Retailer's customer counts and store set-ups warrant the use of vending machines, provided that Retailer shall be in full compliance with this License Agreement, the KLC Retailer Regulations, the requirements and responsibilities for vending machines set forth in this Section, and all other rules, regulations and requirements as may be established by the KLC.

In the event Retailer is provided with vending machine(s), all vending machines must be placed inside Retailer's store, between the front door and the cash register, and must be visible at all times from a staffed work area, so as to maximize ticket sales and ensure that minors are not permitted to purchase tickets from the vending machines. Retailer is not authorized to move vending machine(s) without prior notification of and approval by the KLC, and is not authorized to alter or modify vending machine(s). Retailer is further responsible for:

- maintaining total sales from each vending machine at or above \$1,000 per week;
- loading the machine regularly to prevent "Out of Stock" issues;
- maintaining an average "Out of Stock" of less than 10%;
- following the KLC's recommended Plan-O-Gram;
- providing a grounded 110 volt receptacle within 10 feet of the vending machine;
- paying for repairs due to retailer neglect or customer abuse;
- providing keys to service technician during retailer's business hours for repairs and maintenance; and
- receiving prior KLC approval for placement of any non-lottery materials on vending machine.

Any vending machine may be removed from Retailer by the KLC at any time, with or without cause, and for reasons including, but not limited to, failure by the Retailer to comply with the requirements summarized in the responsibilities listed in this Section.

6. Breach of Agreement

Retailer shall be deemed to breach this Agreement upon failure to meet any of the requirements or criteria set out in KRS Chapter 154A, in the Retailer Administrative Regulation, or in this Agreement.

7. Remedies Upon Breach of Agreement

Upon breach of this Agreement by Retailer, the KLC may, at its sole discretion and in addition to all other remedies the KLC may have at law or in equity, on a temporary or permanent basis, (a) discontinue operation of and, if deemed appropriate, remove any property of the KLC; (b) bill Retailer immediately

for all instant products (c) suspend Retailer's right to sell one or more types of lottery products; and (d) terminate this Agreement and the License. Election of any one remedy shall not preclude election of any other remedy, and delay or failure of the KLC to exercise any remedy with respect to any one breach shall not constitute a waiver of the KLC's right to exercise any remedy with respect to that or any subsequent breach.

8. Termination of Agreement

This Agreement, and the License issued in connection herewith, shall terminate on the earlier of (a) four years from the date of execution of this Agreement by the KLC; (b) any change in Owners, change in the retail location, or change in the type of business of Retailer without prior notice to the KLC; (c) termination of this Agreement by the KLC for cause; (d) thirty (30) days after notice of termination by the KLC without cause; and (e) voluntary termination by Retailer with 30 days' notice, unless otherwise agreed by the KLC, all as more specifically described in the Retailer Administrative Regulation.

9. Release and Indemnification

Retailer hereby agrees to release, indemnify and hold harmless the KLC, its officers, directors, employees and agents, and the Commonwealth of Kentucky, its elected officials, employees and agents (the "Released Parties"), from and against any and all loss, claims, damages, expenses and costs (including court costs and costs and fees of attorneys of the indemnified party's choice) arising out of or resulting from any and all acts or omissions of Retailer, its Owners, Employees or Agents whether or not such acts or omissions are related to Retailer's sale of Lottery products. Without limiting the foregoing, Retailer releases the Released Parties from any and all claims (including claims for lost revenue) that may arise out of a cessation, interruption, suspension, failure of or defects in the operation of the KLC's products, games, or related sales, Lottery equipment and supplies, or any other service supplied by the KLC, regardless of the reasons.

10. Payment of Interest, Costs of Collection and Litigation Costs

It is agreed that any amounts of money due and owing to the KLC by Retailer under this Agreement shall bear interest at the rate of eight percent (8%) per annum from the date due until paid in full. Should the KLC seek and obtain a judgment against Retailer for the payment of any sums, such sums shall thereafter bear interest at the rate of twelve percent (12%) per annum from the date of judgment until paid in full. In addition, Retailer agrees to pay all costs and expenses incurred by the KLC in connection with the collection of all overdue amounts and any other breach of this Agreement by Retailer, including, without limitation, all legal fees, court costs and other expenses of outside counsel, all fees and expenses of outside collection agencies, all fees and expenses of the Kentucky Department of Revenue and all administrative fees imposed on account of non-sufficient fund returns to the KLC.

11. Survival

All obligations of Retailer to the KLC shall survive termination of this Agreement and the Retailer License.

12. Applicable Law and Venue

This Agreement and all matters related to it shall be governed by and interpreted under the laws of the Commonwealth of Kentucky. Any matter arising under this Agreement shall be brought in a court of competent jurisdiction in accordance with KRS 154A.090.

(Legal Name of Retail Business)

(Assumed Name of Business)

(State of Formation)

I hereby certify that I am authorized to sign
this agreement on behalf of the retailer.

BY: _____
(Name)

TITLE: _____

SIGNATURE: _____

DATE: _____
(For partnerships, attach additional Signature Page
to Agreement. Each partner must sign.)

KLC USE ONLY

KENTUCKY LOTTERY CORPORATION
1011 WEST MAIN STREET
LOUISVILLE, KY 40202-2623

BY: NAME:

TITLE:

SIGNATURE:

DATE:



APPLICATION FOR RETAILER LICENSE

FOR KLC USE ONLY	Retailer No. _____
Date received by Region: _____	Sales Representative No. _____

1. Business Information:

Legal Name of Business: _____

DBA or Other Name(s) by Which Business is Known: _____

Address of Sales Location: _____

City: _____ State: _____ Zip: _____ County: _____

Sales Location Phone Number: _____ Sales Location Fax Number: _____

Federal Employer I.D. Number: _____

KY Sales Tax Number: _____

Business Mailing Address (if different): _____

City: _____ State: _____ Zip: _____ County: _____

Business Phone Number: _____ Business Fax Number: _____

Please complete the Electronic Funds Transfer Authorization (Attachment A).

2. Legal Form of Business (Check one)*

☐ Sole Proprietorship ☐ Partnership ☐ Corporation

☐ Limited Liability Company (LLC)

If LLC, indicate tax treatment (check one): ____ Sole Proprietorship ____ Partnership ____ Corporation

☐ Other (Specify) _____

**Each Owner, Partner, Member, Managing Member of LLC, Officer, Director or Shareholder (for publicly-traded corporations, shareholders of at least 5%), must complete the Personal Data Sheet (Attachment B).*

State of Incorporation, Formation of Partnership, or LLC: _____

If LLC or Corporation, Applicant must be registered and in good standing with the Kentucky Secretary of State.

3. Type of Business (check one):

- | | | |
|---|--|---|
| ☐ Grocery Store/Market | ☐ Service Station | ☐ Bar/Tavern/Pub |
| ☐ Convenient Store and Gas | ☐ Drug Store | ☐ Fraternal Organization |
| ☐ Convenient Store W/O Gas | ☐ Restaurant/Lounge | ☐ Bowling Centers |
| ☐ Liquor Store | ☐ General Merchandise | ☐ Other (Specify) |

4. Application is for *(check one)*:

- ☐ New Retailer or Relicensing of Existing Retailer
- ☐ New Owner(s) of Existing Retailer (Proof of Conveyance required)
- ☐ Partial Change in Ownership of Existing Retailer (Proof of Conveyance required)
- ☐ Change in Location of Existing Retailer

Provide one of the following for Proof of Conveyance: (1) Bill of Sale; (2) executed closing documents; (3) Lease; or (4) other proof required by the KLC.

5. Certifications of Applicant

PURSUANT TO KRS 154A.400 AND 202 KAR 3:030, THE APPLICANT SHALL NOTIFY THE KLC, IN WRITING, OF ANY CHANGE IN THE INFORMATION PROVIDED IN THIS APPLICATION, THIRTY (30) DAYS PRIOR TO THE EFFECTIVE DATE OF THE CHANGE.

BY SIGNING THIS APPLICATION, APPLICANT AGREES TO FULLY COMPLY WITH APPLICABLE LAWS, RULES AND REGULATIONS OF THE UNITED STATES, INCLUDING THE AMERICANS WITH DISABILITIES ACT, THE COMMONWEALTH OF KENTUCKY AND ITS POLITICAL SUBDIVISIONS, INCLUDING KRS CHAPTER 154A AND THE ADMINISTRATIVE REGULATIONS IN 202 KAR CHAPTER 3, THE RETAILER HANDBOOK, AND WITH ALL POLICIES, PROCEDURES, RULES, DIRECTIVES, AND INSTRUCTIONS OF THE KENTUCKY LOTTERY CORPORATION (HEREAFTER "KLC"), INCLUDING THE LICENSING DOCUMENTS, ALL AS MAY BE AMENDED.

I hereby certify that I am authorized to sign this application on behalf of the Applicant.

Note: Submission does not indicate acceptance of the Retailer Application by the KLC.

NAME: _____ TITLE: _____

SIGNATURE: _____ DATE: _____
(Authorized Owner, Officer, Member or Partner)

EMAIL ADDRESS (if available): _____

If you need assistance completing this Application, please call the Licensing Department at (502) 560-1782 or send an email to Licensing@kylottery.com.

ATTACHMENT A



Electronic Funds Transfer (EFT) Authorization

- 1) Business Name: _____
- 2) Bank Name: _____
- Branch: _____
- Address: _____
- _____
- City State Zip Code
- 3) EFT BANK ROUTING NUMBER: _____
- ACCOUNT NUMBER: _____
- 4) Type of Account: _____ Checking _____ Savings

MUST ATTACH VOIDED CHECK OR
DEPOSIT SLIP
HERE

The Kentucky Lottery is hereby authorized to initiate debit and credit entries to this account. I hereby certify that this account is in an institution insured by the Federal Deposit Insurance Corporation or Federal Savings and Loan Insurance Corporation. I further certify that I am authorized to sign this EFT Authorization on behalf of the Applicant.

NAME: _____ TITLE: _____

SIGNATURE: _____ DATE: _____
(Authorized Owner, Officer, Member or Partner)

ATTACHMENT B

Retailer No. _____

PERSONAL DATA SHEET

To be completed by each Owner, Partner, Member, Managing Member of LLC, Officer, Director or Shareholder (for publicly-traded corporations, shareholders of at least 5%). *Please print all requested information.*

Name: _____
First Middle Maiden Last

Home Address: _____
Street City State Zip County of Residence

Date of Birth: _____ Social Security Number: _____

Primary Phone Number: (____) _____ Secondary Phone Number: (____) _____

Email Address: _____

Position held within Retailer Business:

☐ Sole Proprietor

☐ Partner

LLC

☐ Member

☐ Managing Member

Corporation (check all that apply)

☐ Officer

☐ Director

☐ Shareholder

Check only if applies: ☐ Guarantor (Please proceed to last paragraph)

IMPORTANT – Please answer ALL the following questions:

- Have you ever been convicted of a felony in any jurisdiction? ☐ Yes ☐ No
- Are you, or any business which you have a financial interest, a vendor of supplies or services to the KLC, or an employee or agent of any such vendor? ☐ Yes ☐ No
- Have you ever been convicted of any gambling related offense in any jurisdiction? ☐ Yes ☐ No
- Do you reside in the same household as an employee of the KLC? ☐ Yes ☐ No
- Have you filed for bankruptcy or similar relief within the past ten (10) years, or has a bankruptcy, receivership or similar proceeding ever been filed against you in the past ten (10) years)? ☐ Yes ☐ No
- Have you knowingly made a false statement of a material fact, or omitted to make a statement, the omission of which is materially misleading to the KLC? ☐ Yes ☐ No
- Are you delinquent in filings or payments due to the Commonwealth of Kentucky, the federal government, and any taxing subdivision where you will sell lottery products? ☐ Yes ☐ No

IF YOU HAVE ANSWERED YES TO ANY OF THE QUESTIONS ABOVE, PROVIDE AN EXPLANATION ON PAGE 2 OF THIS PERSONAL DATA SHEET.

I hereby certify that the information I have provided above is true and complete. I hereby authorize any person or entity, public or private, having any information concerning my background, including but not limited to, criminal history, tax records, motor vehicle records, credit reports, and state and/or federal agency records, to release such information to the KLC, including any authorized agent or employee of the KLC, in connection with this application for a Kentucky Lottery retailer license. I hereby release and discharge any such person or entity providing this information and the KLC from any liability whatsoever that may be incurred in releasing this information to or using this information by the KLC. This authorization shall be valid in original, fax, copied form, or via electronic submission. I further authorize, intend and understand that this Authorization to release information shall continue and remain in full force and effect at all times during the retailer contract, including renewal periods, until such time as I notify the KLC, in writing, that this authorization is revoked.

Signature: _____ Date: _____

ATTACHMENT B CONTINUED

Page 2

If you answered, "yes" to any of the questions listed on this Personal Data Sheet, page 1, please provide an explanation regarding your answer in the space below. If the question deals with criminal convictions, please indicate the date of conviction, the sentence imposed and the county and state in which the offense occurred. If the matter relates to bankruptcy, please provide a brief description of the events which led to the bankruptcy filing, and indicate the date of filing, chapter filed under, and court in which it was filed.

[illegible]

Signature: _____