### LET'S GET STARTED

Retailer applications generally take 6-8 weeks to process.

Once the application is approved, training is scheduled for your employees. New full-service lottery retailers will agree to start with a minimum of eight Scratch-Off games.

When submitting a Retailer License Agreement, please include the following:

Completed W-9 Form

Retailer License Agreement

Application for Retailer License

Electronic Funds Transfer Authorization

Personal Data Sheet

\$250 Application Fee

## **APPLY TODAY**



# Kentucky Lottery Corporate Office Hours

Monday thru Friday 8:00am - 5:00pm

1011 West Main Street Louisville, KY 40202 502-560-1500

The retailer hotline and IGT hotline are available seven days a week from 6:00am until midnight.

Retailer Hotline 800.937.8946

**IGT Hotline** 800.599.5686

## SOMETHING FOR EVERYONE

The Kentucky Lottery offers a variety of games to appeal to any kind of player.

#### **DRAW GAMES**





















#### **SCRATCH-OFFS**



Players can choose from more than three-dozen exciting Scratch-off games with new options launching approximately every six weeks.

#### **VENDING MACHINES**

Kentucky Lottery vending machines make it easy for customers to purchase tickets without staff assistance. Vending machines are available for stores that meet certain sales criteria.





# RETAILER COMMISSION AND REVENUE ADVANTAGES

As a Kentucky Lottery retailer, you'll receive:



## **COMMISSION**

on the sale of all lottery products

That translates to a \$1.50 commission per unit on our current best-selling game!



#### **CASHING BONUS**

for all tickets cashed at your store



#### **SELLING BONUS**

for all validated tickets over \$600 sold from your store, including bonuses for games like Keno and the Powerball and Mega Millions jackpot games.

You will also be part of a quarterly retailer incentive program tied to your Scratch-Offs sales growth and in-store execution.

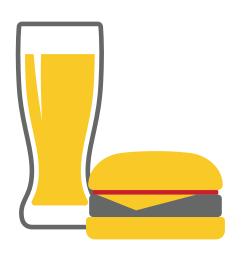


# ADDITIONAL BENEFITS OF CARRYING LOTTERY PRODUCTS



# INCREASED TRAFFIC AND SALES

A study by the National Association of Convenience Stores shows lottery customers spend \$7.00 per visit on average, whereas non-lottery customers spend only \$4.00.



# INCREASED FOOD AND BEVERAGE SALES

Winners of games like Keno tend to spend more, as they are entertained by the drawings every four minutes. They also tend to share their winnings by purchasing food and beverages for the party and giving bigger tips to server staff.



# SUPPORT FROM THE KENTUCKY LOTTERY

The Kentucky Lottery provides free training on lottery games for you and your employees.

You'll receive free marketing materials and advertising support of our products to drive traffic to your location.

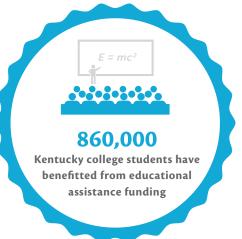


# Fueling Imagination. Funding Education.



Being a Kentucky Lottery retailer is something to be proud of. Since 1989, the Kentucky Lottery has generated over \$5.4 billion for Kentucky students.





The Lottery Funds:

100%

of Kentucky Educational Excellence Scholarships (KEES)



95%

of Kentucky Higher Education
Assistance Authority
(KHEAA)

**Lottery Proceeds Also Benefit:** 

COLLEGE ACCESS PROGRAM (CAP) GRANTS
DUAL CREDIT SCHOLARSHIP PROGRAM
WORK READY KENTUCKY SCHOLARSHIPS
KENTUCKY TUITION GRANTS

## WHAT HAPPENS NEXT?

Equipment installation and training is coordinated once you're approved, typically within 5 days.

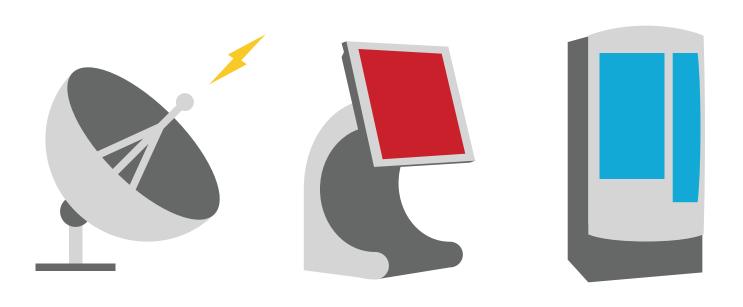
**Typical Retailer Equipment Requirements Include:** 

**SATELLITE DISH** 

## **PROCESSOR**

# **COUNTERTOP TOUCHSCREEN TERMINAL**

## PRINTER AND TICKET CHECKER





#### **SCIENTIFIC GAMES (SCI GAMES)**

1-833-306-5124

#### What does Sci Games do for the Kentucky Lottery?

Sci Games designs, produces and ships games sold by the Kentucky Lottery.

#### When should I contact Sci Games?

Contact Sci Games to order tickets or if you have issues with ticket orders, such as missing tickets or unreceived orders.

#### IGT

1-800-599-5686

#### What does IGT do for the Kentucky Lottery?

IGT makes lottery game machines and equipment, including terminals, ticket printers and game vending machines.

#### When should I contact IGT?

Contact IGT if you have any issues with equipment. You must also contact IGT to order supplies like paper or play slips.



#### **RETAILER HOTLINE**

1-800-937-8946

#### When should I call the Retailer Hotline?

Call the Retailer Hotline with billing issues, validation issues or general questions.

#### KENTUCKY LOTTERY SECURITY

502-560-1804

#### **REPORT STOLEN TICKETS 24/7**

502-560-1910

#### When should I call Kentucky Lottery Retailer Security?

- If you're missing packs of tickets either from a new shipment or after the tickets have been in your store.
- If you find extra packs of tickets in a shipment.
- If you suspect or discover employee theft of lottery tickets.
- If you suspect or discover a non-employee, external theft of lottery tickets.
- In the case of a burglary that involves the lottery.
- If you receive an error message when activating a pack.
- If you receive an error message when validating that says, "Contact Lottery."



For a comprehensive list of Helpful Tips, including examples of Specific Promotions listed below, visit kylottery.com/apps/retailers

#### **PRIZE DEFINITIONS**

Free Ticket — Awards a free lottery product, such as a Pick 3 ticket. The free ticket may be for multiple boards and valid for more than one draw. All free tickets are Quick Picks.

Printed/Mobile Coupons — These coupons come in a variety of shapes and sizes and can be either printed on paper or presented on a mobile device screen. Some may even look homemade if printed on a home computer. All of these have a 16-digit bar validation code.

Terminal Coupons — These are produced on your terminal and can happen if we are doing a promotion such as, buy \$5 of Powerball tickets and receive a coupon for a \$1 Cash Ball ticket on your next visit. All terminal coupons have a 19-digit bar code.

All coupons have unique validation numbers and barcodes that allow them to be validated through your lottery terminal.

#### PROMOTION TYPES

Buy X, Get Y – Awards a free ticket, discount, coupon, voucher, or raffle ticket to customers who buy a specified ticket.

Every Nth Ticket – Awards a prize to customers who buy a specified ticket that happens to be the Nth ticket purchased at a terminal.

**Coupon** – Allows a customer to redeem a coupon that was awarded as the prize for another promotion.



#### **SPECIFIC PROMOTIONS**

#### **Second-Chance Drawing**

#### PROMOTION DEFINITION:

Second chance drawing using non-winning Lottery tickets to offer the customer a second chance to win a prize. These types of drawings help build customer loyalty.

#### Ask for the Sale

#### **PROMOTION DEFINITION:**

The object of this promotion is to ask every customer to purchase a Lottery ticket. It gives the retailer an opportunity to increase awareness and purchases of Lottery tickets.

#### **Coupon Redemption**

#### **PROMOTION DEFINITION:**

Coupons have been used successfully to promote many different products and customers are very familiar with coupon usage. This promotion is designed to increase store traffic.

#### **Free with Purchase**

#### **PROMOTION DEFINITION:**

A free Lottery ticket or premium item is used in this promotion to provide an incentive to purchase a product. The concept is simple: the customer purchases a product and receives a free Lottery ticket, premium item, a combination of both, or a certain dollar amount of products.



#### What does it mean when the terminal says, "Retrying Transaction"?

This may be a communication issue. Please contact IGT at 1-800-599-5686.

# I have a pack of tickets that I didn't activate and the game has ended. How do I get credit for those tickets?

Please call 1-800-937-8946. Your sales representative will be contacted and asked to pick up the tickets for review of credit to your account.

#### What do I do if I cannot run my billing report?

Contact the Kentucky Lottery Accounting department at 1-800-937-8946 and select option 2.

#### Who does the maintenance work on the "Check-a-Ticket" machines?

IGT. You can reach them at 1-800-599-5686.

#### How many times can I scan a non-winning ticket before I'm shut down?

For security reasons, the terminals do not have a set amount of tries.

#### What if I am having trouble scanning tickets?

Please call 1-800-599-5686.

#### What does it mean when the terminal says, "No Traffic"?

It means the phone lines are down. Contact IGT at 1-800-599-5686.

#### What does it mean when the terminal says, "System Suppressed"?

It may be an accounting issue. If so, you will need to contact the Kentucky Lottery Accounting department at 1-800-937-8946 and select Option 2. However, it may be that you have scanned too many non-winning lottery tickets and needs to be reset.

#### What happens when the top prize of a game is claimed?

Tickets may continue to be sold after some prizes, including all top prizes, have been claimed.

#### How do I find out what prizes are remaining in a Scratch-off game?

Remaining prizes for each Scratch-off game can be found on our website, kylottery.com or printed from your Altura terminal as a Prizes Remaining report.



For a comprehensive list of FAQs, visit kylottery.com/apps/retailers.

#### What online games can be canceled?

Pick 3, Pick 4, Cash Ball, and Keno tickets can be canceled.

#### What does it mean when the terminal says, "System Problems, Contact Lottery"?

This may be a result of system issues. Please contact IGT at 1-800-599-5686.

#### I need to place a ticket order after 4:00 PM or on the weekend. Can I still place an order?

Please call 1-833-306-5124. You will be prompted to leave a message, and your order will be placed on the next business day.

#### Why can I not activate a pack of tickets?

There is a chance that the game may be past the activation date, or the pack wasn't assigned to your store. If that pack of tickets is past the activation date, your external rep can pick them up and give you credit. If the pack wasn't assigned to you, call the Retailer Hotline at 1-800-937-8946 for assistance.

- If the terminal says, "Tickets not issued, contact Lottery," this means the tickets are not assigned to your store. Call the Retailer Hotline at 1-800-937-8946 for assistance.
- If the terminal says, "Game Not Found, Contact Lottery," this means the game is no longer in the system. It may be an old game that has ended or a new game that has not yet started. Call the Retailer Hotline at 1-800-937-8946 for assistance.

Who do I call when I am having problems with my vending machine? Call IGT 1-800-599-5686.

#### Can I order supplies through my terminal?

No. To order supplies, you should call 1-800-599-5686.

#### My printer jammed and I didn't get all of the tickets. What should I do?

Press the reprint key and select reprint last online transaction. This will reprint the last ticket produced. If some of the tickets can still be sold, sell the player the tickets. Any tickets that are damaged should be attached with the reprint and an online adjustment form should be filled out and mailed to the lottery.

#### I need to get a ticket validated. What number do I call?

Call the Retailer Hotline at 1-800-937-8946 and select option 3.



#### **Request for Taxpayer Identification Number and Certification**

► Go to www.irs.gov/FormW9 for instructions and the latest information.

Give Form to the requester. Do not send to the IRS.

	1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.						
	2 Business name/disregarded entity name, if different from above						
Print or type. Specific Instructions on page 3.	3 Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check appropriate box federal tax classification of the person whose name is entered on line 1. Check appropriate box federal tax classification of the person whose name is entered on line 1. Check appropriate box federal tax classification of the person whose name is entered on line 1. Check appropriate box federal tax classification of the	4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):  Exempt payee code (if any)					
Print or type. c Instructions	Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=Partne  Note: Check the appropriate box in the line above for the tax classification of the single-member of  LLC if the LLC is classified as a single-member LLC that is disregarded from the owner unless the  another LLC that is not disregarded from the owner for U.S. federal tax purposes, Otherwise, a sin	Exemption from FATCA reporting code (if any)					
Pr pecific	is disregarded from the owner should check the appropriate box for the tax classification of its own  Other (see instructions)	(Applies to accounts maintained outside the U.S.)					
See <b>S</b>	5 Address (number, street, and apt. or suite no.) See instructions.	Requester's name a	and address (optional)				
0)	6 City, state, and ZIP code						
	7 List account number(s) here (optional)						
Pa	Taxpayer Identification Number (TIN)						
	your TIN in the appropriate box. The TIN provided must match the name given on line 1 to a	V 0.10	curity number				
reside	up withholding. For individuals, this is generally your social security number (SSN). However, ent alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other es, it is your employer identification number (EIN). If you do not have a number, see <i>How to g</i>						
TIN, I	ater.	or					
Note: If the account is in more than one name, see the instructions for line 1. Also see What Name and  Number To Give the Requester for guidelines on whose number to enter.    Employer identification number							
Par	t II Certification						
Unde	er penalties of perjury, I certify that:						
2. I ai Se	e number shown on this form is my correct taxpayer identification number (or I am waiting for m not subject to backup withholding because: (a) I am exempt from backup withholding, or (b rvice (IRS) that I am subject to backup withholding as a result of a failure to report all interest longer subject to backup withholding; and	o) I have not been n	otified by the Internal Revenue				
3 1 21	m a LLS citizen or other LLS person (defined below); and						

- I am a U.S. citizen or other U.S. person (defined below); and
- 4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid,

other than	nterest and dividends, you are not required to sign the certification, but you must provide y	0 ( // 0 )/ 1
Sign Here	Signature of U.S. person ►	Date ►

#### **General Instructions**

Section references are to the Internal Revenue Code unless otherwise

Future developments. For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to www.irs.gov/FormW9.

#### **Purpose of Form**

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

• Form 1099-INT (interest earned or paid)

- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding,



Retailer # (Assigned by KLC)	

#### RETAILER LICENSE AGREEMENT

This Retailer License Agreement is executed 1	by and between
doing business as	("Retailer") and the Kentucky Lottery Corporation
(the "KLC"), pursuant to the Retailer License A	pplication (the "Application") submitted to the KLC by
Retailer.	

#### 1. Grant of License; Incorporation by Reference

Retailer is hereby licensed to sell such lottery products as the KLC may approve from time to time

- (a) in consideration of the Retailer's promise in this Retailer License Agreement, and
- (b) in reliance upon Retailer's representations in the Application.

Retailer represents and warrants that there has been no change in the information supplied to the KLC in the Application.

This Agreement is subject to, and Retailer agrees to comply with and be bound by, all provisions of KRS Chapter 154A, all provisions of 203 KAR 3:030 (the "Retailer Administrative Regulation"), the Application, the Retailer Handbook, all other applicable laws and regulations governing the KLC, including the Americans with Disabilities Act, and the rules, practices and procedures of the KLC regarding retailers and the sale of lottery products, as the same may be amended from time to time, all of which are incorporated herein by reference in their entirety and are hereinafter, together with this Retailer License Agreement, collectively referred to as the "Agreement."

Retailer acknowledges that the Agreement sets forth a summary of only certain of the rights, obligations and remedies of the KLC and Retailer, and hereby acknowledges receipt of: KRS Chapter 154A, 203 KAR 3:030 (the Retailer Administrative Regulation), and the Retailer Handbook.

#### 2. Term

Unless earlier terminated by the terms of this agreement or as provided by law, this Agreement shall be in effect for one (1) year and shall automatically renew for additional successive one-year terms, not to exceed four years from the date the Retailer License Agreement was signed by the KLC. This Agreement shall be subject to relicensing by the KLC upon satisfactory completion of all requirements placed by the KLC on such relicensing. The KLC may temporarily extend in writing this Agreement to permit completion of the prerequisites to relicensing, if in the best interest of the KLC

#### 3. Age Restriction on Sales and Prize Payments and Responsible Gaming

Retailer will neither sell lottery products to nor redeem prizes for any person under eighteen (18) years of age. Retailer will monitor any vending machines and player-activated terminals to ensure that individuals under eighteen (18) years of age do not purchase lottery products. Retailer will display, and replenish as needed, brochures on compulsive gambling with the 1-800-GAMBLERS hot line number in the Lottery

Play Center or another prominent location. Retailer will train all employees that handle lottery products in the Play Responsibly Program & KLC's Minors Awareness Program.

#### 4. Retail Location; Changes in Ownership and Location

The license to sell lottery products issued to Retailer in conjunction with this Agreement (the "License") is limited to the sale of products from the retail location or locations identified in the Application (and under other circumstances subject to the express prior written approval of the KLC), and to sale of Lottery products by the entity identified as Retailer in the Application with the "Owners" as defined and identified in the Application.

#### 5. Vending Machine Program Specifications

KLC vending machines may be provided at no charge to Retailer if Retailer's customer counts and store set-ups warrant the use of vending machines, provided that Retailer shall be in full compliance with this License Agreement, the KLC Retailer Regulations, the requirements and responsibilities for vending machines set forth in this Section, and all other rules, regulations and requirements as may be established by the KLC.

In the event Retailer is provided with vending machine(s), all vending machines must be placed inside Retailer's store, between the front door and the cash register, and must be visible at all times from a staffed work area, so as to maximize ticket sales and ensure that minors are not permitted to purchase tickets from the vending machines. Retailer is not authorized to move vending machine(s) without prior notification of and approval by the KLC, and is not authorized to alter or modify vending machine(s). Retailer is further responsible for:

- maintaining total sales from each vending machine at or above \$1,000 per week;
- loading the machine regularly to prevent "Out of Stock" issues;
- maintaining an average "Out of Stock" of less than 10%;
- following the KLC's recommended Plan-O-Gram;
- providing a grounded 110 volt receptacle within 10 feet of the vending machine;
- paying for repairs due to retailer neglect or customer abuse;
- providing keys to service technician during retailer's business hours for repairs and maintenance; and
- receiving prior KLC approval for placement of any non-lottery materials on vending machine.

Any vending machine may be removed from Retailer by the KLC at any time, with or without cause, and for reasons including, but not limited to, failure by the Retailer to comply with the requirements summarized in the responsibilities listed in this Section.

#### 6. Breach of Agreement

Retailer shall be deemed to breach this Agreement upon failure to meet any of the requirements or criteria set out in KRS Chapter 154A, in the Retailer Administrative Regulation, or in this Agreement.

#### 7. Remedies Upon Breach of Agreement

Upon breach of this Agreement by Retailer, the KLC may, at its sole discretion and in addition to all other remedies the KLC may have at law or in equity, on a temporary or permanent basis, (a) discontinue operation of and, if deemed appropriate, remove any property of the KLC; (b) bill Retailer immediately

for all instant products (c) suspend Retailer's right to sell one or more types of lottery products; and (d) terminate this Agreement and the License. Election of any one remedy shall not preclude election of any other remedy, and delay or failure of the KLC to exercise any remedy with respect to any one breach shall not constitute a waiver of the KLC's right to exercise any remedy with respect to that or any subsequent breach.

#### 8. Termination of Agreement

This Agreement, and the License issued in connection herewith, shall terminate on the earlier of (a) four years from the date of execution of this Agreement by the KLC; (b) any change in Owners, change in the retail location, or change in the type of business of Retailer without prior notice to the KLC; (c) termination of this Agreement by the KLC for cause; (d) thirty (30) days after notice of termination by the KLC without cause; and (e) voluntary termination by Retailer with 30 days' notice, unless otherwise agreed by the KLC, all as more specifically described in the Retailer Administrative Regulation.

#### 9. Release and Indemnification

Retailer hereby agrees to release, indemnify and hold harmless the KLC, its officers, directors, employees and agents, and the Commonwealth of Kentucky, its elected officials, employees and agents (the "Released Parties"), from and against any and all loss, claims, damages, expenses and costs (including court costs and costs and fees of attorneys of the indemnified party's choice) arising out of or resulting from any and all acts or omissions of Retailer, its Owners, Employees or Agents whether or not such acts or omissions are related to Retailer's sale of Lottery products. Without limiting the foregoing, Retailer releases the Released Parties from any and all claims (including claims for lost revenue) that may arise out of a cessation, interruption, suspension, failure of or defects in the operation of the KLC's products, games, or related sales, Lottery equipment and supplies, or any other service supplied by the KLC, regardless of the reasons.

#### 10. Payment of Interest, Costs of Collection and Litigation Costs

It is agreed that any amounts of money due and owing to the KLC by Retailer under this Agreement shall bear interest at the rate of eight percent (8%) per annum from the date due until paid in full. Should the KLC seek and obtain a judgment against Retailer for the payment of any sums, such sums shall thereafter bear interest at the rate of twelve percent (12%) per annum from the date of judgment until paid in full. In addition, Retailer agrees to pay all costs and expenses incurred by the KLC in connection with the collection of all overdue amounts and any other breach of this Agreement by Retailer, including, without limitation, all legal fees, court costs and other expenses of outside counsel, all fees and expenses of outside collection agencies, all fees and expenses of the Kentucky Department of Revenue and all administrative fees imposed on account of non-sufficient fund returns to the KLC.

#### 11. Survival

All obligations of Retailer to the KLC shall survive termination of this Agreement and the Retailer License.

#### 12. Applicable Law and Venue

This Agreement and all matters related to it shall be governed by and interpreted under the laws of the Commonwealth of Kentucky. Any matter arising under this Agreement shall be brought in a court of competent jurisdiction in accordance with KRS 154A.090.

(Legal Name of Retail Business)
(Assumed Name of Business)
(State of Formation)
I hereby certify that I am authorized to sign this agreement on behalf of the retailer.
BY:
(Name)
SIGNATURE:
DATE:(For partnerships, attach additional Signature Page to Agreement. Each partner must sign.)
KLC USE ONLY
KENTUCKY LOTTERY CORPORATION 1011 WEST MAIN STREET LOUISVILLE, KY 40202-2623
BY: NAME:
TITLE:
SIGNATURE:
DATE:



# APPLICATION FOR RETAILER LICENSE

FOR KLC USE ONLY			Retailer No	
Date received by Region:			Sales Representative No.	
Business Information:     Legal Name of Business:				
DBA or Other Name(s) by Which Address of Sales Location:	ch Business is Kn	own: _		
Sales Location Phone Number: Federal Employer I.D. Number: KY Sales Tax Number:			Sales Location	Fax Number:
Business Mailing Address (if di City:	State:	Zip:		County:
Business Phone Number:		Busine	ess Fax Number:	
Please complete the Electronic	Funds Transfer A	uthoriz	ation (Attachmer	nt A).
2. Legal Form of Busine  □ Sole Proprietorship  □ Limited Liability Company of If LLC, indicate tax treatment (a)  □ Other (Specify)  *Each Owner, Partner, Member publicly-traded corporations, she (Attachment B).	☐ Partnership (LLC) check one): S	Sole Pro	oprietorship	rector or Shareholder (for
State of Incorporation, Formatic If LLC or Corporation, Applicate State.	_			ng with the Kentucky Secretary of
3. Type of Business (check	k one):			
<ul> <li>□ Grocery Store/Market</li> <li>□ Convenient Store and Gas</li> <li>□ Convenient Store W/O Gas</li> <li>□ Liquor Store</li> </ul>	<ul><li>□ Service Stati</li><li>□ Drug Store</li><li>□ Restaurant/L</li><li>□ General Mer</li></ul>	ounge	se	<ul> <li>□ Bar/Tavern/Pub</li> <li>□ Fraternal Organization</li> <li>□ Bowling Centers</li> <li>□ Other (Specify)</li> </ul>

4 A 1' 4' ' C	
4. Application is for (check one):	
New Retailer or Relicensing of Existing Retailer	
<ul> <li>□ New Owner(s) of Existing Retailer (Proof of Conveyar</li> <li>□ Partial Change in Ownership of Existing Retailer (Proof</li> </ul>	
☐ Partial Change in Ownership of Existing Retailer (Proc☐ Change in Location of Existing Retailer	of Conveyance required)
Provide one of the following for Proof of Conveyance: (1) (3) Lease; or (4) other proof required by the KLC.	1) Bill of Sale; (2) executed closing documents;
5. Certifications of Applicant	
PURSUANT TO KRS 154A.400 AND 202 KAR 3:03	O THE APPLICANT SHALL NOTICY THE
KLC, IN WRITING, OF ANY CHANGE IN TH	
APPLICATION, THIRTY (30) DAYS PRIOR TO THE E	
BY SIGNING THIS APPLICATION, APPLICANT	AGREES TO FILLY COMPLY WITH
APPLICABLE LAWS, RULES AND REGULATIONS O	
AMERICANS WITH DISABILITIES ACT, THE COM	MONWEALTH OF KENTUCKY AND ITS
POLITICAL SUBDIVISIONS, INCLUDING KRS CHA	
REGULATIONS IN 202 KAR CHAPTER 3, THE R POLICIES, PROCEDURES, RULES, DIRECTIVES, A	
LOTTERY CORPORATION (HEREAFTER "KLC"), IN	
ALL AS MAY BE AMENDED.	reposition the free to be considered,
I hereby certify that I am authorized to sign this applicatio	n on behalf of the Applicant.
Note: Submission does not indicate acceptance of the Ret	ailer Application by the KLC.
NAME:	TITLE:
SIGNATURE:	DATE:
(Authorized Owner, Officer, Member or Partner)	
EMAIL ADDRESS (if available).	
EMAIL ADDRESS (if available):	

If you need assistance completing this Application, please call the Licensing Department at (502) 560-1782 or send an email to <u>Licensing@kylottery.com</u>.

ATTACHMENT A



## Electronic Funds Transfer (EFT) Authorization

1)	Business Name:	-
2)	Bank Name:	_
	Branch:	
	Address:	_
	City State Zip Code	—
3)	EFT BANK ROUTING NUMBER:	
	ACCOUNT NUMBER:	
4)	Type of Account: Checking Savings	
Γ		٦
	MUST ATTACH VOIDED CHECK OR DEPOSIT SLIP HERE	
L		L
hereb Corp	Kentucky Lottery is hereby authorized to initiate debit and credit entries to this account. By certify that this account is in an institution insured by the Federal Deposit Insurance oration or Federal Savings and Loan Insurance Corporation. I further certify that I am orized to sign this EFT Authorization on behalf of the Applicant.	-
NAM	ME:TITLE:	
SIGN	NATURE: DATE:	
	(Aumorized Owner, Officer, Member of Latther)	

ATTACHMENT B	Retailer No.

#### PERSONAL DATA SHEET

To be completed by each Owner, Partner, Member, Managing Member of LLC, Officer, Director or Shareholder (for publicly-traded corporations, shareholders of at least 5%). *Please print all requested information*.

Name:		6.1.11	16.1			
First	Λ	<i>Middle</i>	Maiden		Last	
Home Address: Street	City	State	Zip	Count	of Resider	1 <i>0</i> 0
Date of Birth:	•		•	Number:	•	
Primary Phone Number: (_			•	r: (		
Email Address:		_				-
Position held within Retaile						
	☐ Partner <u>I</u> □ □	LC  Member  Managing Member		Corporation (ch ☐ Officer ☐ Director ☐ Shareholder	eck all thai	t apply)
	, -	_	grapii)			
IMPORTANT – Please ansv	wer ALL the follo	wing questions:				
<ul> <li>Have you ever been conv</li> </ul>	victed of a felony	in any jurisdiction	?		☐ Yes	□ No
<ul> <li>Are you, or any business supplies or services to th</li> </ul>				?	□ Yes	□ No
Have you ever been conv	victed of any gamb	oling related offens	se in any jurisdic	ction?	□ Yes	□ No
• Do you reside in the same household as an employee of the KLC?						□ No
<ul> <li>Have you filed for bankr or has a bankruptcy, rece you in the past ten (10) y</li> </ul>	eivership or simila				□ Yes	□ No
<ul> <li>Have you knowingly ma make a statement, the on</li> </ul>				C?	□ Yes	□ No
• Are you delinquent in fil the federal government,					□ Yes	□ No
IF YOU HAVE ANSWER ON PAGE 2 OF THIS PER			STIONS ABOV	E, PROVIDE A	N EXPLAI	NATION
I hereby certify that the inferentity, public or private, has history, tax records, motor information to the KLC, incompared for a Kentucky Lottery retainformation and the KLC from this information by the KI submission. I further authorand remain in full force and as I notify the KLC, in writing	ving any informat vehicle records, coluding any author ailer license. I have any liability we. C. This authorization, intend and under the effect at all times	ion concerning maredit reports, and ized agent or emploreby release and hatsoever that may zation shall be vanderstand that this during the retaile	y background, i state and/or fec- oyee of the KLO discharge any i y be incurred in alid in original, a Authorization or contract, include	ncluding but not deral agency reco C, in connection of such person or e releasing this info fax, copied form to release inform	limited to, ords, to release with this ap- entity provi- ormation to m, or via e- ation shall	criminal ease such oplication dding this o or using electronic continue
Signature:			Date:			

#### ATTACHMENT B CONTINUED

Page 2

If you answered, "yes" to any of the questions listed on this Personal I an explanation regarding your answer in the space below. If the questic please indicate the date of conviction, the sentence imposed and the conoccurred. If the matter relates to bankruptcy, please provide a brief desthe bankruptcy filing, and indicate the date of filing, chapter filed under	on deals with criminal convictions anty and state in which the offense cription of the events which led to
the same aper ming, and indicate the date of ming, enapter med under	, and court in which it was med.
Signature:	